

CF/028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

Applicant : Andrew C. Gilbert  
Application No. : 09/858,091 Confirmation No. : 2562  
Filed : May 15, 2001  
For : SYSTEMS AND METHODS FOR SHIFTING BIDS  
AND OFFERS IN A TRADING INTERFACE  
Group Art Unit : 3624  
Examiner : Steven R. Wasylchak

Mail Stop AMENDMENT  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR  
REPLY TO OFFICE ACTION

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;  
☒ a Reply to Office Action; ☐ a Substitute Specification;  
☐ an Associate Power of Attorney; ☐ formal drawings; to be  
filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.  
☐ A fee for additional claims is required.

03/10/2005 LWONDIH1 00000005 09858091

02 FC:1252

450.00 OP

Express Mail No.  
EV626910654US

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	*	=	X \$50	= \$ .00
INDEPENDENT CLAIMS	-	**	=	X \$200	= \$ .00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$360	= \$ .00

\* If less than 20, insert 20. TOTAL \$ .00  
 \*\* If less than 3, insert 3.

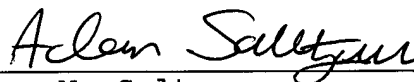
- [ ] As a result of the amendment submitted herewith, this application now includes excess pages beyond those previously paid for. The number of additional groups of 50 excess pages resulting from this amendment is \_\_\_\_\_ x \$250 = \$\_\_\_\_\_.
- [ ] A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

#### EXTENSION FEE

- [X] The following extension is applicable to the Response filed herewith; [ ] \$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [X] \$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,020.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); [ ] \$2,160.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).
- [X] A check in the amount of [ ] \$120.00; [X] \$450.00; [ ] \$1,020.00; [ ] \$1,590.00; [ ] \$2,160.00 in payment of the extension fee is transmitted herewith.
- [X] Pursuant to 37 C.F.R. § 1.136(a), applicants hereby petition for a [ ] one-month extension of time; [X] two-month extension of time; [ ] three-month extension of time; [ ] four-month extension of time; [ ] five-month extension of time to respond to the Office Action dated October 8, 2004. With the extension, the time for replying is extended up to and including March 8, 2005.
- [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge the [ ] \$120.00; [ ] \$450.00;  
[ ] \$1,020.00; [ ] \$1,590.00; [ ] \$2,160.00 extension  
fee to Deposit Account No. 06-1075. A duplicate copy  
of this transmittal letter is transmitted herewith.

Respectfully submitted,



Adam M. Saltzman  
Agent for Applicant  
Registration No. 52,188  
Fish & Neave IP Group  
Ropes & Gray LLP  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000  
Fax : (212) 596-9090



CF/028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew C. Gilbert  
Application No. : 09/858,091 Confirmation No. : 2562  
Filed : May 15, 2001  
For : SYSTEMS AND METHODS FOR SHIFTING BIDS AND  
OFFERS IN A TRADING INTERFACE  
Group Art Unit : 3624  
Examiner : Steven R. Wasylchak

Mail Stop AMENDMENT  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV626910654US

Date of Deposit: March 8, 2005

I hereby certify that this certification and the following papers and fees:

1. Transmittal Letter for Reply to Office Action;  
(in duplicate);
2. Reply to Office Action;
3. Check in the amount of \$450.00 (extension fee);
4. Transmittal Letter for Information Disclosure  
Statement (in duplicate);
5. Information Disclosure Statement;
6. Form SB/08 (in duplicate);
7. Copies of four (4) documents;
8. Check in the amount of \$180.00 (IDS fee);

are being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under 37 C.F.R.  
§ 1.10 on the date indicated above and are addressed to Mail  
Stop AMENDMENT, Hon. Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.

Andrew Shine

Name: Andrew Shine



03-09-05

ifu 3624

CF/028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew C. Gilbert  
Application No. : 09/858,091 Confirmation No. : 2562  
Filed : May 15, 2001  
For : SYSTEMS AND METHODS FOR SHIFTING BIDS  
AND OFFERS IN A TRADING INTERFACE  
Group Art Unit : 3624  
Examiner : Steven R. Wasylchak

Mail Stop AMENDMENT  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

REPLY TO OFFICE ACTION

Sir:

In reply to the Office Action dated October 8, 2004,  
applicant hereby amends the above-identified patent application  
as follows.

AMENDMENTS TO THE CLAIMS are reflected in the listing  
of claims which begins on page 2 of this paper.

REMARKS begin on page 12 of this Reply.

Express Mail No.  
EV626910654US